						1. Co	ontract Number	Page of	f Pages
AMENDMENT OF SOLICITATION / MODIFICATION OF CONTRACT						С	FOPD-20-C-029J	1	1
2. Amendment/Modification Number	3. Effective Date	4. Requisition/Purchase Reques				No.	5. Solicitation Caption Financial Advisory Services – Pricing		
Modification 4	See 16 C below						Advisors / Capital I	mprovemer	ıt Plan
6. Issued by:	Code		7. Ac	dmin	istered by (If ot	ther the	an line 6)		
Office of the Chief Financial O Office of Contracts 1100 4 <sup>th</sup> Street, S.W. Suite E6 Washington, D.C. 20024-445 202-442-7012 - main	20								
Name and Address of Contractor (No. street, city, county, state and zip code					9A. Amendme	ent of S	Solicitation No.		
Hilltop Securities, Inc.				9B. Dated (See Item 11)					
Attn: Michael Newman – Regional Managing Director									
1201 Elm Street – Suite 3500					10A. Modification of Contract/Order No.				
Dallas, TX 75270-2108 214-953-8875 (o) 214.953.4050 (f)				X			CFOPD-20-C-029J	I	
michael.newman@hilltopsecurities.com									
	Facility				10B. Dated (S	See Ite	m 13) April 2, 2020		
11. THIS ITEM ONLY APPLIES TO AMENDMEN					MENTS OF SOI	LICITA			
The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers ☐ is extended. ☐ is not extended.  Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:  (a) By completing Items 8 and 15, and returning copies of the amendment: (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) BY separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.									
12. Accounting and Appropriation Data (If Required)									
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14									
A. This change order is issued pursuant to (Specify Authority): 27 DCMR Chapter 2008 and Section F.2 – Option to Extend the Term of the Contract, contained in the contract.  The changes set forth in Item 14 are made in the contract/order no. in item 10A.									
B. The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data									
etc.) set forth in item 14, pursuant to the DC Financial Responsibility and Management Assistance Authority.  C. This supplemental agreement is entered into pursuant to authority of:									
D. Other (Specify type of modification and authority)									
E. IMPORTANT: Contractor is not is required to sign this document and return 1 copy to the issuing office.									
14. Description of Amendment/Modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.)									
The District intends to achieve the following with Modification 4:									
A. Pursuant to Section F.2 – Option to Extend the Term of the Contract, contained in the contract, the District hereby exercises Option Year 3 for the period of April 2, 2023 through April 1, 2024. The funding for this period is \$1,000.00; the minimum dollar value for the period.									
B. Additional funding may be secured at the time of Task Order issuance; however, the maximum dollar value for the period shall not exceed \$250,000.00. The cumulative, maximum not to exceed value of the contract is increased by \$250,000.00 to an amount not to exceed \$1,000,000.00.									
C. All other terms and conditions shall remain unchanged.									
Except as provided herein, all terms and conditions of the document is referenced in Item 9A or 10A remain unchanged and in full force and effect.									
15A. Name and Title of Signer (Type or print) Michael Newman, Senior Managing Director				Name	e of Contracting	Office	er		
					sler Fortune, Esq., ct of Columbia	CPPO,	Drakus Wiggins, CPPB, CPPO	or Anthony A. S 16C. Date Si	
				i Signification Columbia			11/27		grieu
(Signature of person authorized to sign) 2/13/2023					U	// (\$i	gnature of Contracting Officer)	2513514	245