

AMENDMENT OF SOLICITATION / MODIFICATION OF CONTRACT		1. Solicitation Number CFOPD-23-R-033		Page of Pages 1 4 +Attachments	
2. Amendment/Modification Number Amendment No. 2	3. Effective Date See Box 16C	4. Requisition/Purchase Request No.	5. Solicitation Caption Master Trust Custodian		
6. Issued by: Code		7. Administered by (If other than line 6)			
Office of the Chief Financial Officer Office of Contracts 1100 4 th Street SW Suite E620 Washington, DC 20024					
8. Name and Address of Contractor (No. street, city, county, state and zip code) ALL POTENTIAL OFFERORS		X	9A. Amendment of Solicitation No. CFOPD-23-R-033		
Code			9B. Dated (See Item 11) May 16, 2023		
Facility			10A. Modification of Contract/Order No.		
			10B. Dated (See Item 13)		
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS					
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input checked="" type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning a <u>1</u> written copy of the amendment: (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) BY separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.					
12. Accounting and Appropriation Data (If Required)					
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14					
A. This change order is issued pursuant to (Specify Authority):					
B. The above numbered contract/order is modified to reflect the administrative changes.					
C. This supplemental agreement is entered into pursuant to authority of:					
D. Other (Specify type of modification and authority) Administrative					
E. IMPORTANT: Contractor <input type="checkbox"/> is not <input checked="" type="checkbox"/> is required to sign this document and return one (1) copy to the issuing office.					
14. Description of Amendment/Modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.) The above referenced solicitation to provide Master Trust Custodian Services is hereby amended to include the responses to inquires received (Attachment A). ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED					
Except as provided herein, all terms and conditions of the document is referenced in Item 9A or 10A remain unchanged and in full force and effect.					
15A. Name and Title of Signer (Type or print)			16A. Name of Contracting Officer		
			Anthony A. Stover, CPPO or Drakus Wiggins CPPB, CPPO		
15B. Name of Contractor (Signature of person authorized to sign)		15C. Date Signed	16B. District of Columbia		16C. Date Signed
			 (Signature of Contracting Officer)		June 13, 2023

Attachment A

District's Responses to Questions Received

1. Securities Lending:

- a. Please advise if non-cash collateral would be accepted. If yes, what type of non-cash collateral?

Response: The OPEB Plan does not use securities lending with its investment strategies.

- b. Please provide cash collateral reinvestment guidelines for use in calculating the securities lending earnings estimate.

Response: See response to 1 (a)

- c. Please provide any restrictions such as borrower restrictions, minimum loan spreads, percentage on loan etc. which should be utilized in the securities lending estimate.

Response: See response to 1 (a)

2. Would the Treasury be receptive to receiving a cover letter in addition to our formal proposal submission?

Response: Yes

3. Please confirm if the Clean Hands Letter is required with the RFP submission.

Response: Clean Hands Certificates are not required to be submitted with offeror proposals.

4. Please provide a detail holdings list (Excel if possible) to include any domestic and global assets for the \$1.8B in market value. For any global assets, please include "home" country and currency.

Response: See Attachment B – "Asset Detail – Base DCOPEB". The password is Dcope6nm.

5. Please identify if the fund is currently receiving compliance and performance reporting via a third-party vendor in a separate written agreement. If so, please identify who is providing these services.

Response: Yes, Northern Trust.

6. Please provide a plan schematic showing number of accounts currently by type. As C.4.3 of the RFP identifies (4) Managed Accounts and (9) Commingled/Pooled/Mutual Fund Investment Accounts, however (10) Commingled/Pooled/Mutual Fund Investment Accounts are detailed below.

**Response: See Attachment C – “DC OPEB Schematic-Fund Account Numbers”.
The password is Dcope6nm.**

7. Please identify if Securities Lending is currently utilized.

Response: Securities Lending is not currently utilized.

8. If Securities Lending services are required, please provide a detailed holdings list including CUSIP/SEDOL for lending estimate purposes (in Excel).

Response: Securities Lending is not currently utilized.

9. Section C.4.11 states “The Contractor shall provide securities lending services.” Given this is listed within the minimum specifications and assumptions, should a vendor be unable to provide Securities Lending services, would this exclude them from being a potential candidate and therefore declining to bid would be an appropriate action?

Response: The District does not currently utilize securities lending. When it is needed the custodian will be required to provide the service.

10. Please identify any outside held/mirrored/shadowed assets.

Response: N/A.

11. Please identify all separate account managers by firm name.

**Response: See attachment C – “DC OPEB Schematic – Fund Account Numbers”.
The password is Dc0pe6nm.**

12. Please identify the current custodian for the Fund.

Response: Northern Trust

13. Is a consultant involved in the Master Trust Custodian search, if yes which firm?

Response: No

14. Please provide anticipated transaction volumes/historical transaction volumes for each transaction listed below:

- a. Global transactions – please provide for each country.
- b. DTC settlements –
- c. # of free receive/deliver transactions occurring book entry through DTC -
- d. Fed/REPO Settlements –
- e. Maturities -
- f. Mutual Fund Settlements –
- g. Derivative transactions
- h. P&I Payments – Pooled Assets (GNMA, FNMA & FHLMC) –
- i. P&I Payments – Non-Pooled (CMO/Asset and MBS Securities) –
- j. P&I Payments – Physically Held Assets –
- k. Callable Bonds –
- l. Outgoing Wires –
- m. Incoming Wires –
- n. Vendor Payments (ACH/Checks)

Response:

- a. Global transactions – please provide for each country.
 - i. Poland – 6**
 - ii. South Africa – 23**
 - iii. United Kingdom 42**
 - iv. United Sates 1689**
- b. DTC settlements – **2363**
- c. # of free receive/deliver transactions occurring book entry through DTC - **0**
- d. Fed/REPO Settlements – **0**
- e. Maturities -**4**
- f. Mutual Fund Settlements – **0**
- g. Derivative transactions-
 - i. DERIVATIVES-ETD-1065**
 - ii. DERIVATIVES-OTC-MODERATE-16**
 - iii. DERIVATIVES-OTC-SIMPLE- 136**
- h. P&I Payments – Pooled Assets (GNMA, FNMA & FHLMC) – **1544**
- i. P&I Payments – Non-Pooled (CMO/Asset and MBS Securities) – **382**
- j. P&I Payments – Physically Held Assets – **N/A**
- k. Callable Bonds – **310**
- l. Outgoing Wires – **118**
- m. Incoming Wires – **20**
- n. Vendor Payments (ACH/Checks) - **51**

End