

<b>AMENDMENT OF SOLICITATION / MODIFICATION OF CONTRACT</b>			1. Contract Number CFOPD-20-C-029M	Page of Pages 1   2		
2. Amendment/Modification Number Modification 2	3. Effective Date See 16 C below	4. Requisition/Purchase Request No.	5. Solicitation Caption Financial Advisory Services – Pricing Advisors / Economic Development Financing			
6. Issued by: Office of the Chief Financial Officer Office of Contracts 1100 4 <sup>th</sup> Street, S.W. Suite E620 Washington, D.C. 20024-4453 202-442-7012 - main		Code	7. Administered by (If other than line 6)			
8. Name and Address of Contractor (No. street, city, county, state and zip code) Hilltop Securities, Inc. Attn: Michael Newman – Regional Managing Director 1201 Elm Street – Suite 3500 Dallas, TX 75270-2108 214-953-8875 (o) 214.953.4050 (f) <a href="mailto:michael.newman@hilltopsecurities.com">michael.newman@hilltopsecurities.com</a>		9A. Amendment of Solicitation No.		9B. Dated (See Item 11)		
		X	10A. Modification of Contract/Order No. CFOPD-20-C-029M		10B. Dated (See Item 13) April 23, 2020	
			Facility			
		11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS				
<input type="checkbox"/> The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) BY separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.						
12. Accounting and Appropriation Data (If Required)						
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14						
X	A. This change order is issued pursuant to (Specify Authority): 27 DCMR Section 3601.3(a) and Section 1.8 Changes of the Contract.					
	B. The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data etc.) set forth in item 14, pursuant to the DC Financial Responsibility and Management Assistance Authority.					
	C. This supplemental agreement is entered into pursuant to authority of:					
	D. Other (Specify type of modification and authority)					
<b>E. IMPORTANT:</b> The Contractor <input checked="" type="checkbox"/> is not <input type="checkbox"/> is required to sign this document and return <u>any</u> copy to the issuing office.						
14. Description of Amendment/Modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.)  The District intends to achieve the following with Modification 2:  A. This contract identified in Block 10A above is hereby modified as described in page 2, Attachment A:  <b>ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED</b>						
Except as provided herein, all terms and conditions of the document is referenced in Item 9A or 10A remain unchanged and in full force and effect.						
15A. Name and Title of Signer (Type or print)		16A. Name of Contracting Officer Dorothy Whisler Fortune, Esq., CPPO, Drakus Wiggins, CPPB, CPPO or Anthony A. Stover, CPPO				
15B. Name of Contractor	15C. Date Signed	16B. District of Columbia 		16C. Date Signed 12/21/2021		
(Signature of person authorized to sign)		(Signature of Contracting Officer)				

## ATTACHMENT A

Section H.1.1 is hereby added as follows and these requirements apply only to those District contractors who provide goods or perform services in person in District government facilities or worksites, or who have in-person contact with other persons in order to complete their work under their contract:

### H.1.1 SPECIAL PROVISIONS RELATED TO THE COVID-19 EMERGENCY

1. The Contractor is required to comply with Mayor's Order 2021-099, COVID-19 Vaccination Certification Requirement for District Government Employees, Contractors, Interns, and Grantees, dated August 10, 2021, and all substantially similar vaccine requirements including any modifications to this Order, unless and until they are rescinded or superseded. At the request of the District government, Contractors may be asked to provide certification of compliance with this requirement and/or documents and records in support of this certification. Find and view the complete Mayor's Order here: [LINK](#)
2. The Contractor is required to comply with City Administrator's Order 2021-4, Resumption of Requirement for All Persons to Wear a Mask Inside District Government Buildings and While on Duty as a District Government Employee or Contractor, dated July 30, 2021, and all substantially similar mask requirements including any modifications to this Order, unless and until they are rescinded or superseded. Find and view the complete City Administrator's Order here: [LINK](#)
3. The Contractor can contact [vendor.relations@dc.gov](mailto:vendor.relations@dc.gov) for additional information regarding these provisions.