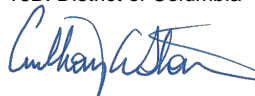


AMENDMENT OF SOLICITATION / MODIFICATION OF CONTRACT		1. Solicitation Number CFOPD-21-R-032		Page of Pages	
				1	3
2. Amendment/Modification Number Amendment No. 2	3. Effective Date See Box 16C	4. Requisition/Purchase Request No.	5. Solicitation Caption UDC Investment & Advisory Services		
6. Issued by: Code		7. Administered by (If other than line 6)			
Office of the Chief Financial Officer Office of Contracts 1100 4 th Street SW Suite E610 Washington, DC 20024					
8. Name and Address of Contractor (No. street, city, county, state and zip code) ALL POTENTIAL OFFERORS Code Facility		X	9A. Amendment of Solicitation No. CFOPD-21-R-036		
			9B. Dated (See Item 11) July 29, 2021		
			10A. Modification of Contract/Order No.		
			10B. Dated (See Item 13)		
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS					
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input checked="" type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning a <u>1</u> written copy of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) BY separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.					
12. Accounting and Appropriation Data (If Required)					
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14					
A. This change order is issued pursuant to (Specify Authority):					
B. The above numbered contract/order is modified to reflect the administrative changes.					
C. This supplemental agreement is entered into pursuant to authority of:					
D. Other (Specify type of modification and authority) Administrative					
E. IMPORTANT: Contractor <input type="checkbox"/> is not <input checked="" type="checkbox"/> is required to sign this document and return one (1) copy to the issuing office.					
14. Description of Amendment/Modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.) The above referenced solicitation to provide UDC Investment & Advisory Services is hereby amended to incorporate the following changes: A. Section B.4 "Price Schedule Requirements" Part 4.1 is deleted in its entirety and replaced with the changes found in Attachment A. B. Section L.3.3 "Price Proposal" is deleted in its entirety and replaced with the changes found in Attachment A. Submit your revised Price Proposal no later than Friday 2:00pm EST September 24, 2021 C. Section H "Special Contract Requirements" is revised to include the changes found in Attachment A. ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED					
Except as provided herein, all terms and conditions of the document is referenced in Item 9A or 10A remain unchanged and in full force and effect.					
15A. Name and Title of Signer (Type or print)			16A. Name of Contracting Officer		
			Anthony A. Stover, CPPO or Drakus Wiggins CPPB, CPPO		
15B. Name of Contractor (Signature of person authorized to sign)		15C. Date Signed	16B. District of Columbia  (Signature of Contracting Officer)		16C. Date Signed Sept 20, 2021

Attachment A

The following changes are hereby incorporated into the solicitation

1. Section B.4 “Price Schedule Requirements” Part 4.1 is deleted in its entirety and replaced with the following:

B.4.1 The Contractor’s fee (basis points (bps)) times the estimated Assets Under Management (AUM) represents the total estimated contract amount. The Contractor is allowed to present tiered pricing based on the total estimated quantity of \$53,400,000.00 as they see fit as further described in **Section L.3.3**.

2. Section L.3.3 “Price Proposal” is deleted in its entirety and replaced with the following:

L.3.3 Price Proposal: The Offeror’s Price Proposal shall be submitted as follows:

- 1) Cover page narrative that describes the budget methodology and detail cost factors Completed Section B, Pricing Schedule
- 2) The Offeror shall complete the tables in Section B.4 in a similar manor to the below example:

Yearly Price Schedule

CLIN	Description	Estimated Quantity	Unit of Measure	Firm Fixed Unit Price in bps	Total Estimated Price
001	Investment Advisory Services Fee	\$25,000,000.00	AUM	12 (0.12%)	\$30,000.00
002	Investment Advisory Services Fee	\$25,000,000.00	AUM	10 (0.10%)	\$30,000.00
003	Investment Advisory Services Fee	\$3,400,000.00	AUM	5 (0.05%)	\$1,700.00
		\$53,400,000.00			
Base Period - Year Two Total Estimated Price					\$61,700.00

In the above example, an approach was used based on pricing for the first 25 million, then the next 25 million, and the next 50 million until the full \$53.4 million was accounted for. The Offeror's price shall be based on the District's estimate and presented as such. If the pricing is not submitted as requested the proposal may be deemed non-responsive.

- 3) The Offeror's Dun & Bradstreet (D&B) D-U-N-S Number, recent financial statement prepared in accordance with Generally Accepted Auditing Standards (GAAS) by a certified public accountant, or a copy of the Offeror's most recently submitted IRS tax filing
 - 4) Office of Local Business Development Equal Employment Opportunity Information Report and Mayor's Order 85-85 (J.4)
 - 5) Department of Employment Services First Source Employment Agreement and Plan (J.5).
3. Section H "Special Contract Requirements" is hereby revised to include the following:

H.10 OCFO/OCIO CYBERSECURITY AWARENESS TRAINING

In the OCFO's ongoing effort to protect OCFO data, networks and computers against cyber attackers all Contractor personnel, including direct or indirect employees and any employed by a subcontractor, assigned to the Contract shall take and must pass the OCFO/OCIO Cybersecurity Awareness Training at the District's direction. The training is web-based, designed to heighten cybersecurity awareness so that the OCFO is less likely to become a victim of cybercrimes. The training is typically completed in one to two hours. The training shall be taken and must be passed annually by all Contractor personnel, during the term of the Contract.

H.11 SPECIAL PROVISIONS RELATED TO THE COVID-19 EMERGENCY

- H.11.1 The Contractor is required to comply with Mayor's Order 2021-099, COVID-19 Vaccination Certification Requirement for District Government Employees, Contractors, Interns, and Grantees, dated August 10, 2021, and all substantially similar vaccine requirements including any modifications to this Order, unless and until they are rescinded or superseded. At the request of the District's government, Contractors may be asked to provide certification of compliance with this requirement and/or documents and records in support of this certification.
- H.11.2 The Contractor is required to comply with City Administrator's Order 2021-4, Resumption of Requirement for All Persons to Wear a Mask Inside District Government Buildings and While on Duty as a District Government Employee or Contractor, dated July 30, 2021, and all substantially similar mask requirements including any modifications to this Order, unless and until they are rescinded or superseded.
- H.11.3 The Contractor can contact vendor.relations@dc.gov for additional information regarding these provisions.