

**GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
DETERMINATION AND FINDINGS
FOR
CONTRACTOR'S RESPONSIBILITY**

AGENCY: Office of Finance and Treasury

CONTRACT NO: CFOPD-20-C-029D

CONTRACTOR: Phoenix Capital Partners, LLC

CAPTION: Financial Advisory Services – Financial Advisor Capital Improvement Plan

1. AUTHORIZATION:

DC Municipal Regulations Title 27 §1540.1 and 2200, PPRA §301, 302

2. MINIMUM REQUIREMENTS:

The District of Columbia Government (District), Office of Finance and Treasury (OFT), is seeking a Contractor to provide financial advisory services with respect to debt management, long-range capital planning, and debt issuance.

3. ESTIMATED REASONABLE COST:

Not to Exceed \$250,000.00

4. FACTS WHICH JUSTIFY CONTRACTOR'S RESPONSIBILITY:

The contractor has:

- (a) the financial resources adequate to perform the contract;
- (b) the ability to perform the proposed schedules, taking into consideration all existing commercial and governmental business commitments;
- (c) a satisfactory performance record, as depicted in their past performance references;
- (d) a satisfactory record of integrity and business ethics, as depicted in their past performance references;
- (e) a satisfactory record of compliance with the law, including licensing and tax laws, labor laws, civil rights laws and First Source Employment Act;

- (f) the necessary organization, experience, accounting and operational controls, and technical skills, or the ability to obtain them;
- (g) the necessary production, construction, and technical equipment and facilities or the ability to obtain them;
- (h) not exhibited a pattern of overcharging the District;
- (i) the contractor does not have an outstanding debt with the District or Federal government in delinquent status of more than the greater of \$1,000 or 1% of the contract value, up to \$25,000; and
- (j) the contractor is otherwise qualified and is eligible to receive an award under applicable laws and rules.

5. **CERTIFICATION BY THE CONTRACT SPECIALIST:**

I hereby certify that the above findings are correct and complete to the best of my knowledge.

Date

Carla Roane
Contract Specialist

DETERMINATION:

Based on the above findings, it is hereby determined that the Contractor is responsible in accordance with the applicable regulations set forth above and award of the proposed contract with **Phoenix Capital Partners, LLC** is in the best interest of the Government of the District of Columbia.

Date

Anthony A. Stover
Contracting Officer