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| AMENDMENT OF SOLICITATION / MODIFICATION OF CONTRACT | | 1. Contract Number CFOPD-20-C-029J | Page of Pages 1 1 | |
| 2. Amendment/Modification Number Modification 3 | 3. Effective Date See 16 C below | 4. Requisition/Purchase Request No. | 5. Solicitation Caption Financial Advisory Services – Pricing Advisors / Capital Improvement Plan | |
| 6. Issued by: Office of the Chief Financial Officer Office of Contracts 1100 4 th Street, S.W. Suite E620 Washington, D.C. 20024-4453 202-442-7012 - main | | 7. Administered by (If other than line 6) | | |
| 8. Name and Address of Contractor (No. street, city, county, state and zip code) Hilltop Securities, Inc. Attn: Michael Newman – Regional Managing Director 1201 Elm Street – Suite 3500 Dallas, TX 75270-2108 214-953-8875 (o) 214.953.4050 (f) michael.newman@hilltopsecurities.com | | 9A. Amendment of Solicitation No. | 9B. Dated (See Item 11) | |
| Facility | | X | 10A. Modification of Contract/Order No. CFOPD-20-C-029J | |
| | | | 10B. Dated (See Item 13) April 2, 2020 | |
| 11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS | | | | |
| <input type="checkbox"/> The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) BY separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified. | | | | |
| 12. Accounting and Appropriation Data (If Required) | | | | |
| 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14 | | | | |
| X | A. This change order is issued pursuant to (Specify Authority): 27 DCMR Chapter 2008 and Section F.2 – Option to Extend the Term of the Contract, contained in the contract. The changes set forth in Item 14 are made in the contract/order no. in item 10A. | | | |
| | B. The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data etc.) set forth in item 14, pursuant to the DC Financial Responsibility and Management Assistance Authority. | | | |
| | C. This supplemental agreement is entered into pursuant to authority of: | | | |
| | D. Other (Specify type of modification and authority) | | | |
| E. IMPORTANT: Contractor <input type="checkbox"/> is not <input checked="" type="checkbox"/> is required to sign this document and return <u>1</u> copy to the issuing office. | | | | |
| 14. Description of Amendment/Modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.) The District intends to achieve the following with Modification 3: A. Pursuant to Section F.2 – Option to Extend the Term of the Contract, contained in the contract, the District hereby exercises Option Year 2 for the period of April 2, 2022 through April 1, 2023. The funding for this period is \$1,000.00; the minimum dollar value for the period. B. Additional funding may be secured at the time of Task Order issuance; however, the maximum dollar value for the period shall not exceed \$250,000.00. The cumulative, maximum not to exceed value of the contract is increased by \$250,000.00 to an amount not to exceed \$750,000.00. C. All other terms and conditions shall remain unchanged. | | | | |
| Except as provided herein, all terms and conditions of the document is referenced in Item 9A or 10A remain unchanged and in full force and effect. | | | | |
| 15A. Name and Title of Signer (Type or print) Michael Newman Senior Managing Director | | 16A. Name of Contracting Officer Dorothy Winters Fortune, Esq., CPPO, Drakus Wiggins, CPPB, CPPO or Anthony A. Stover, CPPO | | |
| 15B. Name of Contractor Michael Newman (Signature of person authorized to sign) | 15C. Date Signed 2/17/22 | 16B. District of Columbia (Signature of Contracting Officer) | 16C. Date Signed 25 B64244 | |