

Amendment 1 - Attachment A

CFOPD-19-R-052 – Investment Account Custodial Services

Set forth below are the District's responses to Offeror questions:

1. Section C.3.9 #5 [] reads, "The Contractor is required to provide a monthly Performance Measurement report detailing performance data at the aggregate and at account level for any given time period." [The Offeror] does not provide Performance Measurement services as part of its custody offering. If you would, please advise if this precludes us from successfully bidding on this opportunity to work with the District.

Response: This does not preclude any firms from submitting a proposal. The District will evaluate each Offeror's ability to meet the requirements of the solicitation.

2. Please provide document 'J.6 – List of Holdings – Issue Concentration_Custody (33992)' in excel format.

Response: See the revised Attachment J.6 – List of Holdings – Issue Concentration Custody (33992) included as part of this Amendment.

3. Please provide the number of OTC / Structured derivatives and the estimated number of annual transactions.

Response: The District currently does not hold any OTC Structured derivatives; therefore, we do not anticipate any transactions on derivatives.

4. Please provide the number of exchange traded derivatives and the estimated number of annual transactions.

Response: The District does not hold any exchange traded derivatives.

5. Please provide the country of settlement and total market value for each position summarized in the 'Estimated Quantity' section of the 19-R-052 – RFP document. Please provide the breakout for the base period and the four (4) one-year option periods.

Response: The United States (US) the country of settlement and all securities are domestic.

6. Please break out the holdings listed in the 'J.6 – List of Holdings – Issue Concentration_Custody (33992)' document by country of settlement.

Response: All holdings will settle in the US.

7. Please break out the transactions volumes (buy/sell) by country of settlement for the transactions summarized in the ‘Estimated Quantity’ section of the 19-R-052 – RFP document. Please provide the breakout for the base period and the four (4) one-year option periods.

Response: All transactions will settle in the US. Over 85% of the transactions will be government money market securities.

8. Please provide a complete list of accounts with an indication on each as to whether it is actively managed or a line item (ETF/Mutual Fund/LP etc.).

Response: Each mutual fund position represents a line item and, therefore they are not separately managed portfolios. The District currently has one account which holds ABS/MBS securities, which should be held directly at DTC. This account, like all other accounts, is not actively managed.

9. Regarding Section 3.1, ‘Investment Custodial Requirements,’ please confirm if the selected custodian is required to broker trades.

Response: Yes, the selected custodian is required to broker trades.

10. Regarding Section C Item 3.7.2, please confirm if the setup of the clients fed accounts is negotiable.

Response: No, it is not negotiable. From time to time, as required, fed accounts shall be setup per its direction.

11. Please confirm Questions I, II and III in section L.3.2 **Technical Proposal** should be used for the entirety of our response describing the range of services we contemplate providing for the Investment Account Custodial Services RFP.

Response: The Offeror’s technical response shall be in accordance with Section L.3.2 paragraphs 1, 2, and 3.

[End of Attachment A]